

001

CONT

FY12

V 968036-01 COLORADO DEPARTMENT OF
NATURAL RESOURCES

97285 - R8 FRED

1846131 - R8 SDMS

COLORADO DEPARTMENT OF NATURAL RESOURCES
V 968036-01
Superfund

J. Guerra

-S. Way

C. Vallegos

S. Fernandez

COMPLETE/CLOSED

Fernandez, Sam

From: Fernandez, Sam
Sent: Wednesday, January 21, 2015 9:00 AM
To: 'Seymour - DNR, Kimberly'
Cc: Vallejos, Cinna
Subject: RE: Time Extension V96803601-2

Hi Kimberly

My understanding from Cinna is that you will be providing a supplemental increase application by the end of this month. Please indicate the new time period on the application and we will extend it again at that time.

Thanks
Sam Fernandez
Grants Specialist
Grants, Audit & Procurement Program
USEPA Region 8
1595 Wynkoop Street, 8TMS-G
Denver, CO 80202-1129
Office: 303-312-6360
Fax: 303-312-6685
Email: fernandez.sam@epa.gov

From: Seymour - DNR, Kimberly [mailto:kimberly.seymour@state.co.us]
Sent: Wednesday, January 21, 2015 8:46 AM
To: Fernandez, Sam
Cc: joe.garmatz@state.co.us; linda.white@state.co.us; Vallejos, Cinna; Allen Sorenson; Steve Renner
Subject: Re: Time Extension V96803601-2

Good morning, Sam -

Thank you for providing the no cost time extension for the Rico agreement. Do you require an SF424 from DRMS indicating that the award increased in duration? I normally am required to provide those, but just wanted to check with you.

Thanks again.

Kimberly Seymour

Grants Officer
Colorado Inactive Mine Reclamation Program



COLORADO
Division of Reclamation,
Mining and Safety
Department of Natural Resources

P 303.866.3567 xt 8141 | F 303.832.8106
1313 Sherman St, Suite 215, Denver, CO 80203
kimberly.seymour@state.co.us | www.colorado.gov/mining

On Wed, Jan 21, 2015 at 7:26 AM, Fernandez, Sam <Fernandez.Sam@epa.gov> wrote:

Good Morning Kimberly,

Enclosed is Assistance Amendment No. 2 which extend the project and budget periods to 03/31/2015.

Attached is an electronically signed award for Assistance Agreement V96803601-2. **It is not necessary for you to sign and return this assistance amendment.**

If you have any questions regarding the administrative requirements, please feel free to contact me. If you have any questions regarding the technical requirements, contact Cinna Vallejos at (303) 312-6376 or via email at Vallejos.Cinna@epa.gov.

Thanks,

Sam Fernandez

Grants Specialist

Grants, Audit & Procurement Program

USEPA Region 8

1595 Wynkoop Street, 8TMS-G

Denver, CO 80202-1129

Office: 303-312-6360

Fax: 303-312-6685



U.S. ENVIRONMENTAL PROTECTION AGENCY

COMPREHENSIVE ADMINISTRATIVE REVIEW

Use For Processing Grants/Cooperative Agreement
Amendment Actions

I. GENERAL INFORMATION

Applicant: CO DPHE Assistance No.: V96863601-2

Type of Action: ☐ Incremental [Complete Sections II, III, IV] ☐ Administrative [Complete Sections II, III, IV- Question No. 5]

☒ No Cost Extension [Complete Sections III, IV- Question No. 6 & V]



II. ELIGIBILITY AND PRE-AWARD CAPABILITIES REVIEW

	YES	NO	REFERENCES/NOTES
1. Does the applicant have a valid registration in the System for Award Management (SAM)? Website: http://www.sam.gov Expiration Date: _____	<input type="checkbox"/>	<input type="checkbox"/>	Applicant must be registered before award can be made for new and continuation awards and supplemental amendments. If NO, applicant must be advised to register at : http://www.sam.gov
1A . Does the <i>Legal Business Name</i> or <i>Doing Business As</i> in SAM agree with the Legal Name on the SF-424?	<input type="checkbox"/>	<input type="checkbox"/>	Note: Acronyms of Grantee information in the PAB entry should not be changed. Grant Specialists should coordinate with GACs to update other information in the PAB entry with any necessary changes.
2. Does the Applicant or any entity or person identified in the application have any exclusions listed in the System for Award Management? Website: http://www.sam.gov Date Checked: _____	<input type="checkbox"/>	<input type="checkbox"/>	Award may not be made to entities or persons excluded from participation in Federal Assistance programs. If applicant is listed, notify GMO and Program Office. See GPI 12-05 .

NON-PROFIT APPLICANTS	YES	NO	N/A	REFERENCES/NOTES
3. Does the Grantee Compliance Assistance & Recipient Summary Database contain unresolved evaluation reports for this applicant?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If YES, this amendment may not be funded until reports are closed or if findings in the reports are determined to be relevant, the recipient is on track to complete the corrective action in accordance with EPA Order 5700.8 , Assessing Capabilities of Non-Profit Applicants for Managing Assistance Awards and the Pre-award Guidance.
4. Does the applicant have any overdue close-out actions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If YES, review the applicant's records for outstanding close-outs and determine whether the backlogged close-outs are representative of a lack of administrative capability in accordance with EPA Order 5700.8 , Assessing Capabilities of Non-Profit Applicants for Managing Assistance Awards and the Pre-award Guidance.

III. CHANGE REQUEST REVIEW	YES	NO	N/A	REFERENCES/NOTES
5. Are the EPA Project Officer/Approvers/Approval Official (PO) certifications current?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If the certifications are not current, the award may not be processed until the PO/Approvers/Approval Official have completed required certification courses.

IV. OTHER REQUIREMENTS	YES	NO	N/A	REFERENCES/NOTES
6. Is the Administrative Baseline Monitoring Review up-to-date and documented in the IGMS Post-Award Database and the Official Grant File for this grant?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	In accordance with EPA Order 5700.2A2, Policy on Compliance, Review and Monitoring, Grant Specialists are required to perform and document baseline monitoring on every award throughout the life of the agreement. Please refer to the Order for details of this requirement: EPA Order 5700.2A2

7. Does this action require the signature of the Senior Resource Official? If YES, signature must be obtained prior to award	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	SRO concurrence is required when the total project costs are expected to be over \$5 million for continuing environmental programs and over \$1 million for project grants.
8. Does this award require White House notification? Date of White House Notification: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Required action for awards of \$1 million or over in Federal funding.
9. If this amendment includes mixed appropriations or different appropriations from prior awards, has the PO addressed the Multiple Appropriations Order?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If NO, advise the PO to provide a change request comment to provide additional information addressing the <u>Multiple Appropriations Order</u> .
V. BUDGET & PROJECT PERIOD EXTENSION	YES	NO	N/A	REFERENCES/NOTES
10. Is the applicant a Part 30 recipient? Did the recipient notify the Award Official in writing with the supporting reasons and revised expiration date at least 10 days before the Budget and Project Period expiration date specified in the award document?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If NO, approval is required by the EPA Award Official. The time extension may not be for the sole purpose of expending any remaining balance of Federal funds. Examples of justifications include: adverse weather, resource constraints, personnel changes, procurement issues, etc. Recipient may request a one time B&P extension for up to 12 months without prior approval.
11. Is the applicant a Part 31 recipient?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The time extension may not be for the sole purpose of expending any remaining balance of Federal funds. Examples of justifications include: adverse weather, resource constraints, personnel changes, procurement issues, etc.
12. Is the proposed timeframe for the project within the Statutory/Regulatory/Policy time constraint requirements?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Check the Statutory/Regulatory/Competitive Announcement/CFDA limitations.
13. Is the proposed budget period within the time limit constraints imposed by the Office of Chief Financial Officer (OCFO) GPI 08-02 and GPI 11-01?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Budget and Project period timeframes may not exceed limitations imposed by: <u>GPI 08-02 and GPI-11-01</u>
14. Is the time extension approved by the EPA Project Officer?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If NO, enter the date recipient was notified: _____
15. Did the recipient submit revised milestones and timeframes in accordance with the ULO Policy? <i>Recipient is submitting supplemental increase coordination</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<u>GPI 11-01, Section 9.0</u>
VI. ADDITIONAL FOLLOW-UP ACTIONS NEEDED				
Issues/Notes:				
Date Resolved:				
APPROVAL SECTION				
This Amended Application for Federal Assistance has been reviewed for administrative compliance with statutory, regulatory, policy and delegated authorities. Signature below indicates, in the reviewers opinion, the application complies with the administrative requirements for award of assistance.				
 Grant Specialist		 Date		
<p style="text-align: right;">Last Revised: November 2012 National Comprehensive Administrative Review Document</p>				

Fernandez, Sam

From: Vallejos, Cinna
Sent: Tuesday, December 09, 2014 10:19 AM
To: Way, Steven
Cc: Fernandez, Sam
Subject: V96803601

Hi Steve,

This a reminder that the cooperative agreement with DRMS for the RICO site expires 12/31/2014. There is just under \$5,000 remaining.

1



Document Review

Compass Document: V96803601

Document Summary: General Ledger Entries

Doc Type: GO

Doc No: V96803601

Vendor Code: 840644739B V

IGMS Grant No: 96803601-2

IGMS Budget Start Date: 05/15/2012

IGMS Budget End Date: 12/31/2014

IGMS Project Start Date: 05/15/2012

IGMS Project End Date: 12/31/2014

Order Date: 05/09/12

Closed Date:

Servicing Finance Office: LVFC

Order Amount: \$44,585.00

Net Paid Amount: \$39,665.42

Closed Amount: \$39,665.42

Available Amount: \$4,919.58

Vendor: EXECUTIVE DIRECTOR'S OFFICE

Vendor Legal Name: NATURAL RESOURCES, COLORADO DEPARTMENT OF

Alternate Vendor:

Description:

Extended Description:

Document Details: **Expand**

Line#	Line Amt	Expended Amt	Closed Amt	Refunded Amt	Available Amt	BFY
1	\$44,585.00	\$39,665.42	\$39,665.42	\$0.00	\$4,919.58	2011

Document Activity:

Cinna Vallejos
U.S. EPA Region 8, EPR - Support Program
1595 Wynkoop
Denver, CO 80202
Direct: 303-312-6376
FAX: 303-312-7151
Vallejos.Cinna@EPA.Gov

"Your life is an occasion, rise to it!" Suzanne Weyn

GRANTS STATUS

Grant No.: V- 96803601 - 2

Recipient: CO Dept. of Natural Resources

Budget/Project Period: 5/15/12 - 12/31/14

Project Officer: C. Vallejos / Steve Way

STATUS Active

• Changing GIS from J. Guerra to S. Fernandez 4/18/14

ACTION N/A

OTHER



U.S. ENVIRONMENTAL PROTECTION AGENCY

COMPREHENSIVE ADMINISTRATIVE REVIEW

Use For Processing Grants/Cooperative Agreement
Amendment Actions

I. GENERAL INFORMATION

Applicant: CO DPHE Assistance No.: V-96803601-2

Type of Action: ☐ Incremental [Complete Sections II, III, IV] ☐ Administrative [Complete Sections II, III, IV- Question No. 5]

☒ No Cost Extension [Complete Sections III, IV- Question No. 6 & V]

II. ELIGIBILITY AND PRE-AWARD CAPABILITIES REVIEW

	YES	NO	REFERENCES/NOTES
1. Does the applicant have a valid registration in the System for Award Management (SAM)? Website: http://www.sam.gov Expiration Date: _____	<input type="checkbox"/>	<input type="checkbox"/>	Applicant must be registered before award can be made for new and continuation awards and supplemental amendments. If NO, applicant must be advised to register at : http://www.sam.gov
1A. Does the <i>Legal Business Name</i> or <i>Doing Business As</i> in SAM agree with the Legal Name on the SF-424?	<input type="checkbox"/>	<input type="checkbox"/>	Note: Acronyms of Grantee information in the PAB entry should not be changed. Grant Specialists should coordinate with GACs to update other information in the PAB entry with any necessary changes.
2. Does the Applicant or any entity or person identified in the application have any exclusions listed in the System for Award Management? Website: http://www.sam.gov Date Checked: _____	<input type="checkbox"/>	<input type="checkbox"/>	Award may not be made to entities or persons excluded from participation in Federal Assistance programs. If applicant is listed, notify GMO and Program Office. See <u>GPI 12-05</u> .
NON-PROFIT APPLICANTS	YES	NO	N/A
3. Does the Grantee Compliance Assistance & Recipient Summary Database contain unresolved evaluation reports for this applicant?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Does the applicant have any overdue close-out actions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
III. CHANGE REQUEST REVIEW	YES	NO	N/A
5. Are the EPA Project Officer/Approvers/Approval Official (PO) certifications current?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
IV. OTHER REQUIREMENTS	YES	NO	N/A
6. Is the Administrative Baseline Monitoring Review up-to-date and documented in the IGMS Post-Award Database and the Official Grant File for this grant?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

7. Does this action require the signature of the Senior Resource Official? If YES, signature must be obtained prior to award	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	SRO concurrence is required when the total project costs are expected to be over \$5 million for continuing environmental programs and over \$1 million for project grants.
8. Does this award require White House notification? Date of White House Notification: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Required action for awards of \$1 million or over in Federal funding.
9. If this amendment includes mixed appropriations or different appropriations from prior awards, has the PO addressed the Multiple Appropriations Order?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If NO, advise the PO to provide a change request comment to provide additional information addressing the <u>Multiple Appropriations Order</u> .
V. BUDGET & PROJECT PERIOD EXTENSION	YES	NO	N/A	REFERENCES/NOTES
10. Is the applicant a Part 30 recipient? Did the recipient notify the Award Official in writing with the supporting reasons and revised expiration date at least 10 days before the Budget and Project Period expiration date specified in the award document?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If NO, approval is required by the EPA Award Official. The time extension may not be for the sole purpose of expending any remaining balance of Federal funds. Examples of justifications include: adverse weather, resource constraints, personnel changes, procurement issues, etc. Recipient may request a one time B&P extension for up to 12 months without prior approval.
11. Is the applicant a Part 31 recipient?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The time extension may not be for the sole purpose of expending any remaining balance of Federal funds. Examples of justifications include: adverse weather, resource constraints, personnel changes, procurement issues, etc.
12. Is the proposed timeframe for the project within the Statutory/Regulatory/Policy time constraint requirements?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Check the Statutory/Regulatory/Competitive Announcement/CFDA limitations.
13. Is the proposed budget period within the time limit constraints imposed by the Office of Chief Financial Officer (OCFO) GPI 08-02 and GPI 11-01?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Budget and Project period timeframes may not exceed limitations imposed by: <u>GPI 08-02</u> and <u>GPI-11-01</u>
14. Is the time extension approved by the EPA Project Officer?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If NO, enter the date recipient was notified: _____
15. Did the recipient submit revised milestones and timeframes in accordance with the ULO Policy?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<u>GPI 11-01</u> , Section 9.0

VI. ADDITIONAL FOLLOW-UP ACTIONS NEEDED


Issues/Notes:

Date Resolved:

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APPROVAL SECTION

This Amended Application for Federal Assistance has been reviewed for administrative compliance with statutory, regulatory, policy and delegated authorities. Signature below indicates, in the reviewers opinion, the application complies with the administrative requirements for award of assistance.

 Grant Specialist	<u>1-3-14</u> Date
---	-----------------------

Certification Training Database

EPA Domino Directory ==> 

Project Officer Information

Project Officer:	Cinna Vallejos	Certified Grants
Office:	REG,08,O EPR	E-Mail: Vallejos.Cinna@epamail.epa.gov
AAShip:	R08	Phone: 303-312-6376
Org Code:	9083	Mail Code: 8EPR-PS

Training Information

Basic Training Date 08/06/96	Basic Training Type <input type="radio"/> IAG Only <input checked="" type="radio"/> Grants Only <input type="radio"/> Grants Manager Only <input type="radio"/> IAG Manager Only <input type="radio"/> IGMS Security
Refresher Training Date 12/31/2013	Refresher Training Type <input type="radio"/> IAG Only Refresher <input checked="" type="radio"/> Grants Only Refresher <input type="radio"/> Grants Manager Only <input type="radio"/> IAG Manager Only <input type="radio"/> IGMS Security

Last Training Date	12/31/2013
Certification Expiration Date	12/31/2016

Comments

Created By:

Michael Williams/DC/USEPA/US on 01/02/2014

Last Modified By:

Michael Williams/DC/USEPA/US on 01/02/2014

Guerra, Jasmin

From: Vallejos, Cinna
Sent: Wednesday, December 18, 2013 6:41 AM
To: Guerra, Jasmin
Cc: Hulstein, Sarah; Seymour - DNR, Kimberly
Subject: FW: Fw: Rico Mine Site - CO DNR - DRMS Agreement Extension (V-96803601)

It was a little cart before the horse. Steve had received a phone call a week or so ago. He and I talked in the elevator last week and then in response to an email I had sent him he sent me his approval. I replied letting him know we needed the request from the recipient and received this from Allen Sorenson yesterday. Hopefully this is all you need.

Merry Christmas!

Cinna Vallejos
U.S. EPA Region 8, EPR - Support Program
1595 Wynkoop
Denver, CO 80202
Direct: 303-312-6376
FAX: 303-312-7151
Vallejos.Cinna@EPA.Gov

From: Sorrenson - DNR, Allen [<mailto:allen.sorenson@state.co.us>]
Sent: Tuesday, December 17, 2013 10:09 AM
To: Way, Steven
Cc: Vallejos, Cinna; Kimberly Seymour - DNR
Subject: Fwd: Fw: Rico Mine Site - CO DNR - DRMS Agreement Extension (V-96803601)

Steve, the Colorado Division of Reclamation Mining and Safety (DRMS) requests extension of the period of performance for Agreement V-96803601 from 12/31/13 to 12/31/14. There is no increase in funding required for this extension. The investigation and remediation work at Rico is ongoing, as we have discussed, continued support from DRMS to EPA is required, particularly for activities in the underground mine workings.

Allen Sorenson
Geological Engineer/Project Manager
Colorado Division of Reclamation Mining and Safety
1313 Sherman St. Room 215
Denver, CO 80203
303-866-3567 x.8143
allen.sorenson@state.co.us

----- Forwarded message -----

From: Way, Steven <way.steven@epa.gov>
Date: Tue, Dec 17, 2013 at 9:18 AM
Subject: Fw: Rico Mine Site - CO DNR - DRMS Agreement Extension (V-96803601)
To: Allen Sorenson <allen.sorenson@state.co.us>

Allen - as indicated by Cinna, I need you to send a request to extend the agreement.

Thanks,
Steve

From: Vallejos, Cinna
Sent: Tuesday, December 17, 2013 9:15:35 AM
To: Way, Steven
Subject: RE: Rico Mine Site - CO DNR - DRMS Agreement Extension (V-96803601)

Your approval is fine. I need a copy of the written request (email is fine) from the recipient (DRMS) to you asking for the extension and why they need it.

Cinna Vallejos

U.S. EPA Region 8, EPR - Support Program

1595 Wynkoop

Denver, CO 80202

Direct: 303-312-6376

FAX: 303-312-7151

Vallejos.Cinna@EPA.Gov

From: Way, Steven
Sent: Monday, December 16, 2013 11:11 AM
To: Vallejos, Cinna
Subject: Rico Mine Site - CO DNR - DRMS Agreement Extension (V-96803601)

Cinna,

If possible, I would like to extend the period of performance from 12/31/13 to 12/31/14. There is no increase in funding required for this extension. The work is ongoing at the site and continued support from DRMS is required.

Let me know if you need additional information.

Thank you,

Steve

Federal On-Scene Coordinator

Emergency Response Program (8EPR-ER)
US EPA Region 8
1595 Wynkoop Street
Denver, CO 80202-1129

Office: 303-312-6723

Guerra, Jasmin

From: Seymour - DNR, Kimberly [kimberly.seymour@state.co.us]
Sent: Wednesday, December 18, 2013 11:12 AM
To: Vallejos, Cinna
Cc: Sorrenson - DNR, Allen; Guerra, Jasmin; Hulstein, Sarah; Way, Steven
Subject: Re: copy of time extension request - V-96803601-1

Great - thank you!

Kimberly

On Wed, Dec 18, 2013 at 10:18 AM, Vallejos, Cinna <vallejos.cinna@epa.gov> wrote:

Nope we should be good until Jasmin returns. Thank you both for working with us on this.

Cinna Vallejos

U.S. EPA Region 8, EPR - Support Program

1595 Wynkoop

Denver, CO 80202

Direct: [303-312-6376](tel:303-312-6376)

FAX: [303-312-7151](tel:303-312-7151)

Vallejos.Cinna@EPA.Gov

From: Seymour - DNR, Kimberly [mailto:kimberly.seymour@state.co.us]
Sent: Wednesday, December 18, 2013 9:49 AM
To: Sorrenson - DNR, Allen
Cc: Vallejos, Cinna

Subject: Re: copy of time extension request - V-96803601-1

Great - thanks, Allen.

Cinna - do you require anything else?

Thank you for your help with everything.

Kimberly

On Wed, Dec 18, 2013 at 9:47 AM, Sorrenson - DNR, Allen <allen.sorenson@state.co.us> wrote:

I will not charge to this 12/31 through 1/3. -Allen

On Wed, Dec 18, 2013 at 8:17 AM, Seymour - DNR, Kimberly <kimberly.seymour@state.co.us> wrote:

Hi Cinna - no worries...thank you.

Allen - do you anticipate any more charges to the EPA Rico agreement between 12/31 - 01/03?

Thank you.

Kimberly

On Wed, Dec 18, 2013 at 7:57 AM, Vallejos, Cinna <vallejos.cinna@epa.gov> wrote:

Sorry for assuming on your name. One last question, do you anticipate any costs to be incurred between 12/31 and 1/3? Jasmin is on annual leave and although she has a backup she was hoping this could wait until she came back.

Have a great holiday!

Cinna Vallejos

U.S. EPA Region 8, EPR - Support Program

1595 Wynkoop

Denver, CO 80202

Direct: 303-312-6376

FAX: 303-312-7151

Vallejos.Cinna@EPA.Gov

From: Seymour - DNR, Kimberly [mailto:kimberly.seymour@state.co.us]

Sent: Wednesday, December 18, 2013 7:44 AM

To: Vallejos, Cinna

Cc: Guerra, Jasmin; Hulstein, Sarah

Subject: Re: copy of time extension request - V-96803601-1

Hi -

It appears in reading the other email that everything has been received to process the extension.

Thank you for handling.

P.S. Please call me Kimberly, not Kim. Thank you. :)

Kimberly

Kimberly Seymour

***Grants Officer
Colorado Inactive Mine Reclamation Program
1313 Sherman Street, Suite 215
Denver, Colorado 80203
Phone: 303-866-3567 xt. 8141
Fax: 303-832-8106
Email: kimberly.seymour@state.co.us***

On Wed, Dec 18, 2013 at 6:38 AM, Vallejos, Cinna <vallejos.cinna@epa.gov> wrote:

Jasmin, Kim and Sarah,

The email request was attached to the change request I submitted. It included the Project Manager, Steve Way's approval. Kim, there is no need to send additional information. I will re-send the email under separate cover to Jasmin.

Cinna Vallejos

U.S. EPA Region 8, EPR - Support Program

1595 Wynkoop

Denver, CO 80202

Direct: 303-312-6376

FAX: 303-312-7151

Vallejos.Cinna@EPA.Gov

From: Guerra, Jasmin
Sent: Tuesday, December 17, 2013 4:49 PM
To: Seymour - DNR, Kimberly
Cc: Vallejos, Cinna; Hulstein, Sarah
Subject: copy of time extension request - V-96803601-1

Hi Kimberly,

I received a time extension from Cinna today requesting that this grant be extended until 12/31/14, except I did not see an email from your organization requesting the extension with the justification and proposed end date. If or Cinna you could please forward that request to us all on the email that would be greatly appreciated. I received the change request from the Project Officer approving the time extension.

Thank you and happy holidays!

Jasmin Guerra
USEPA, Region 8, TMS-G
1595 Wynkoop St.
Denver, CO 80202-1129
Phone: 1-800-227-8917 ext. 6508
or (303) 312-6508 phone
Fax: (303) 312-6685
Email: guerra.jasmin@epa.gov

Guerra, Jasmin

From: Guerra, Jasmin
Sent: Tuesday, December 10, 2013 5:04 PM
To: Vallejos, Cinna
Subject: Expiring Superfund grants 12/31/13

Hi Cinna,

Here are two more that are about to close at the end of December. They are V-96803601-1 and V-96802501-2. That should be it for this upcoming month.

Thanks,

Jasmin Guerra
USEPA, Region 8, TMS-G
1595 Wynkoop St.
Denver, CO 80202-1129
Phone: 1-800-227-8917 ext. 6508
or (303) 312-6508 phone
Fax: (303) 312-6685
Email: guerra.jasmin@epa.gov



U.S. ENVIRONMENTAL PROTECTION AGENCY

COMPREHENSIVE ADMINISTRATIVE REVIEW Use For Processing Grants/Cooperative Agreement Amendment Actions

I. GENERAL INFORMATION

Applicant: CO Dept. Natural Resources Assistance No.: V-96803601-1
DUNS Number: 879015899 [If DUNS was not previously received, obtain one prior to processing this action]

Type of Action: ☐ Incremental [Complete Sections II, III, IV]
☐ Administrative [Complete Sections II, III, IV- Question No. 5]
☒ No Cost Extension [Complete Sections III, IV- Question No. 5 & V]

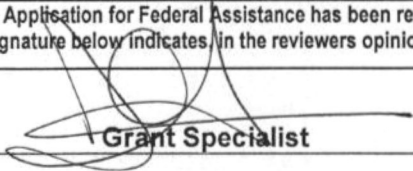
II. ELIGIBILITY AND PRE-AWARD CAPABILITIES REVIEW

	YES	NO	REFERENCES/NOTES
1. Is the Applicant on the Excluded Parties List System? Website: http://www.epls.gov Date Checked: _____	<input type="checkbox"/>	<input type="checkbox"/>	Award may not be made to entities in the EPLS. For more info: www.gpoaccess.gov/ecfr or 2 CFR Part 1532. If applicant is listed, contact OGD.

NON-PROFIT APPLICANTS	YES	NO	N/A	REFERENCES/NOTES
2. Does the Grantee Compliance Assistance & Recipient Summary Database contain unresolved evaluation reports for this applicant?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If YES, this amendment may not be funded until reports are closed or if findings in the reports are determined to be relevant, the recipient is on track to complete the corrective action in accordance with EPA Order 5700.8, Assessing Capabilities of Non-Profit Applicants for Managing Assistance Awards and the Pre-award Guidance.
3. Does the applicant have any overdue close-out actions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If YES, review the applicant's records for outstanding close-outs and determine whether the backlogged close-outs are representative of a lack of administrative capability in accordance with EPA Order 5700.8, Assessing Capabilities of Non-Profit Applicants for Managing Assistance Awards and the Pre-award Guidance.

III. CHANGE REQUEST REVIEW	YES	NO	N/A	REFERENCES/NOTES
4. Are the EPA Project Officer/Approvers/Approval Official (PO) certifications current?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If the certifications are not current, the award may not be processed until the PO/Approvers/Approval Official have completed required certification courses.

IV. OTHER REQUIREMENTS	YES	NO	N/A	REFERENCES/NOTES
5. Has the Administrative Baseline Monitoring Review been completed and documented in the IGMS Post-Award Database and/or the Official Grant File for this grant?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	In accordance with EPA Order 5700.2A2, Policy on Compliance, Review and Monitoring, Grant Specialists are required to perform and document baseline monitoring on every award on an ongoing basis, throughout the life of the agreement. Please refer to the Order for details of this requirement: <u>EPA Order 5700.2A2</u>
6. Does this action require the signature of the Senior Resource Official? If YES, signature must be obtained prior to award	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	SRO concurrence is required when the total project costs are expected to be over \$5 million for continuing environmental programs and over \$1 million for project grants.
7. Does this award require White House notification?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Required action for awards which exceed \$1 million in Federal funding.

Section IV. "Other Requirements" Continued...			YES	NO	N/A	REFERENCES/NOTES
8. If this amendment includes mixed appropriations or different appropriations from prior awards, has the PO addressed the Multiple Appropriations Order?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If NO, advise the PO to provide a change request comment to provide additional information addressing the <u>Multiple Appropriations Order</u> .
V. BUDGET & PROJECT PERIOD EXTENSION			YES	NO	N/A	REFERENCES/NOTES
9. Is the applicant a Part 30 recipient?			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If NO, approval is required by the EPA Award Official.
Did the recipient notify the Award Official in writing with the supporting reasons and revised expiration date at least 10 days before the Budget and Project Period expiration date specified in the award document?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The time extension may not be for the sole purpose of expending any remaining balance of Federal funds. Examples of justifications include: adverse weather, resource constraints, personnel changes, procurement issues, etc. Recipient may request a one time B&P extension for up to 12 months without prior approval.
10. Is the applicant a Part 31 recipient?			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The time extension may not be for the sole purpose of expending any remaining balance of Federal funds. Examples of justifications include: adverse weather, resource constraints, personnel changes, procurement issues, etc.
11. Is the proposed timeframe for the project within the Statutory/Regulatory/Policy time constraint requirements?			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Check the Statutory/Regulatory/Competitive Announcement/CFDA limitations.
12. Is the proposed budget period within the time limit constraints imposed by the Office of Chief Financial Officer (OCFO) Policy 91-11 and GPI 08-02?			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Budget and Project period timeframes may not exceed limitations imposed by OCFO 91-11, Budget & Financial Accounting Policy & Procedures for Implementation of Revised M Accounts Legislation & GPI 08-02: <u>OCFO 91-11</u> (M Accounts) & <u>GPI 08-02</u> (7yrs. policy)
13. Is the time extension approved by the EPA Project Officer?			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If NO, enter the date recipient was notified: _____
VI. ADDITIONAL FOLLOW-UP ACTIONS NEEDED						
Issues/Notes/Date Resolved:						
APPROVAL SECTION						
This Amended Application for Federal Assistance has been reviewed for administrative compliance with statutory, regulatory, policy and delegated authorities. Signature below indicates, in the reviewers opinion, the application complies with the administrative requirements for award of assistance.						
 Grant Specialist			12/3/12 Date			
INTERNAL CONTROL REVIEW (Optional)						
A Quality Control Review was made of the application review to ensure it complies with the statutory, regulatory delegated and policy requirements for award. Signature below indicates the reviewer supports the funding decision for this Application for Federal Assistance.						
Reviewer			Date			
Last Revised: May 1, 2009 National Comprehensive Administrative Review Document.						

Document Readers**Award Change Request**

Re: CO DRMS; Rico Argentine; Superfund ->

Document Status

Document Phase: Grant Specialist
Current Editor: Jasmin Guerra
Delegate: Emily Rodriguez

Last Modified: 11/30/2012
Previous Editor: Cinna Vallejos

Change Information

EPA Region: EPA R8

Grant Number: 96803601-0

Change Type: Time Extension (Amendment)
Time Extension (Approval)

Requires: Amendment

Details: This Change Request approves the request to extend this grant through December 31, 2013. There are no additional funds and no change to the approved scope of work.

Requestor: Cinna Vallejos

Title:

Phone:

Request Date: 11/30/2012

Project Dates

Project Period Start: 05/15/2012

Project Period End: 12/31/2013

Budget Period Start: 05/15/2012

Budget Period End: 12/31/2013

Review

EPA Project Officer: Cinna Vallejos

Submitted:

Due Date:

Response	EPA Project Officer	Date

Origination Information



Re: Fw: Rico Argentine grant extension - DRMS V96803601

Sorenson, Allen

to:

Jasmin Guerra

11/27/2012 10:31 AM

Hide Details

From: "Sorenson, Allen" <allen.sorenson@state.co.us>

To: Jasmin Guerra/R8/USEPA/US@EPA

2 Attachments



graycol.gif Rico Suspend and Resume Work Order 1.pdf

Thanks everyone, yes, the need for the additional year is based on suspension of the mine rehabilitation work on 9/24/12, prior to completion of the necessary work, but for good cause. Attached is the suspend order I issued to the contractor, describing why we need to return and finish in 2013. -Allen

On Tue, Nov 27, 2012 at 9:47 AM, <Guerra.Jasmin@epamail.epa.gov> wrote:

Hi Cinna,

Time extensions requests need to include a justification in the written request. This one is a bit unique in that it was only a period of performance of 6 months, it looks like in their application they originally had the end date until the end of Dec 2013, but for some reason crossed it out, yet in their workplan the components were scheduled to be completed by 12/31/12.

Given the short project period it does not seem unreasonable to extend it out, but this is a good opportunity to clarify for the files the need/justification for the additional year.

Allen, can you please provide us with a short sentence or two to help clarify the need for the

additional year?

Thank you!

Jasmin Guerra
USEPA, Region 8, TMS-G
1595 Wynkoop St.
Denver, CO 80202-1129
Phone: 1-800-227-8917 ext. 6508
or (303) 312-6508 phone
Fax: (303) 312-6685
Email: guerra.jasmin@epa.gov

Cinna Vallejos---11/27/2012 08:46:47 AM---Is this acceptable as a request and approval for a grant extension. If so let me know and I'll comp

From: Cinna Vallejos/R8/USEPA/US
To: Jasmin Guerra/R8/USEPA/US@EPA
Date: 11/27/2012 08:46 AM
Subject: Fw: Rico Argentine grant extension - DRMS V96803601

Is this acceptable as a request and approval for a grant extension. If so let me know and I'll complete the CR.

Thanks!

Cinna Vallejos
U.S. Environmental Protection Agency, Region 8
Ecosystems Protection & Remediation, Program Support Office
Desk: 303-312-6376
Fax: 303-312-7151

----- Forwarded by Cinna Vallejos/R8/USEPA/US on 11/27/2012 08:45 AM -----

From: Steven Way/R8/USEPA/US
To: Cinna Vallejos/R8/USEPA/US@EPA
Date: 11/07/2012 08:41 AM
Subject: Fw: Rico Argentine grant extension - DRMS V96803601

Cinna,

I concur with the following request for an extension of the grant with DRMS to continue work through 2013. Please provide the necessary approval to DRMS for this request.

Thank you,
Steve

On-Scene Coordinator
Emergency Response Program (8EPR-ER)

US EPA Region 8
1595 Wynkoop Street
Denver, CO 80202-1129

Office: 303-312-6723

----- Forwarded by Steven Way/R8/USEPA/US on 11/07/2012 08:38 AM -----

From: "Sorenson, Allen" <allen.sorenson@state.co.us>
To: Steven Way/R8/USEPA/US@EPA
Date: 11/07/2012 08:06 AM
Subject: Rico Argentine grant extension

Steve, The Colorado Division of Reclamation, Mining, and Safety requests an extension of the grant from EPA to continue the rehabilitation of underground workings at the Rico Argentine Mine and the hydrologic investigations in the mine. Under this request the current remaining budget and the time for performance would be extended to December 31, 2013. The grant is set to expire on December 31, 2012. No additional funding above what remains in the current grant is being requested. Thank you,

Allen Sorenson
Project Manager
Colorado Division of Reclamation, Mining, and Safety

SUSPEND AND RESUME WORK ORDER

COLORADO DIVISION OF
RECLAMATION, MINING & SAFETY
1313 Sherman St. Rm 215
Denver, CO 80203 2273
(303) 866-3567

Project Name: Rico Argentine Mine Rehabilitation		Bid#: PKA-12-684	
Contractor Address: Kent Bethers Construction, Inc. P.O. Box 555 Heber City, UT 84032		Notice to Proceed Date: 8-2-2012	
		Time of Completion: 37 + 60 = 97 days, total	
S & R # 1	PM	Your are hereby instructed to <input checked="" type="checkbox"/> Suspend <input type="checkbox"/> Resume	Date: 9/24/2-12
		New Completion Date: Following CO No. 1; 44 days remain in the contract	

ITEM #	WORK OR MATERIAL	REASON
6.	Muck caved areas	1. Risk of dewatering pipeline failure in freezing conditions. 2. EPA & ARCO cannot conduct scheduled injection test while contractor is dewatering.
7.	Provide and install timber sets	1. Risk of dewatering pipeline failure in freezing conditions. 2. EPA & ARCO cannot conduct scheduled injection test while contractor is dewatering.
11.	Remove coffer dam from Blaine Tunnel	Coffer dam is needed to control Blaine mine pool until after mucking and timbering are completed in 2013.
19.	Re-install coffer dam in Blaine Tunnel	Pre-existing coffer dam not yet removed under Task 11. See above.

Contractor Signature: _____

Project Manager Signature: _____

S & R #	AM/PM	Your are hereby instructed to <input type="checkbox"/> Suspend <input type="checkbox"/> Resume	Date	New Completion Date:
ITEM #	WORK OR MATERIAL	REASON		

Contractor Signature: _____

Project Manager Signature: _____

S & R #	AM/PM	Your are hereby instructed to <input type="checkbox"/> Suspend <input type="checkbox"/> Resume	Date	New Completion Date:
ITEM #	WORK OR MATERIAL	REASON		

Contractor Signature: _____

DRMS Signature: _____

Project Manager Signature: _____



Re: Fwd: Periodic Administrative Baseline Review - V-96803601

Seymour, Kimberly

to:

Jasmin Guerra

11/23/2012 11:50 AM

Cc:

Allen Sorenson, Yvonne Brannon

Hide Details

From: "Seymour, Kimberly" <kimberly.seymour@state.co.us>

To: Jasmin Guerra/R8/USEPA/US@EPA

Cc: Allen Sorenson <Allen.Sorenson@state.co.us>, Yvonne Brannon

<Yvonne.Brannon@state.co.us>

1 Attachment



graycol.gif

Hi Jasmin -

My understanding from Allen Sorenson is that he plans to extend the contract. The Rico project had to be extended and Allen (I believe) is working with Steve Way on the extension.

Thank you for your help with all of this!

Kimberly

On Fri, Nov 23, 2012 at 11:04 AM, <Guerra.Jasmin@epamail.epa.gov> wrote:

Hi Kimberly,

I did let your Project Officers know that your grant is getting ready to end and to verify that your organization did not plan to request a no-cost time extension since there is still about half of your funds available. If everything is on track than we'll proceed as usual with the closeout process, but if you did need to extend the date due to unexpected delays simply

work with Cinna and Steven to get their approvals and process started.

Thank you, it has been great working with you all!

Jasmin Guerra
USEPA, Region 8, TMS-G
1595 Wynkoop St.
Denver, CO 80202-1129
Phone: 1-800-227-8917 ext. 6508
or (303) 312-6508 phone
Fax: (303) 312-6685
Email: guerra.jasmin@epa.gov

"Seymour, Kimberly" ---11/23/2012 10:58:55 AM---Hi Yvonne and Allen - Please see below and work together to provide the EPA with the required M/WBE

From: "Seymour, Kimberly" <kimberly.seymour@state.co.us>
To: Yvonne Brannon <Yvonne.Brannon@state.co.us>, Allen Sorenson <Allen.Sorenson@state.co.us>
Cc: Jasmin Guerra/R8/USEPA/US@EPA
Date: 11/23/2012 10:58 AM
Subject: Fwd: Periodic Administrative Baseline Review - V-96803601

Hi Yvonne and Allen - Please see below and work together to provide the EPA with the required M/WBE report for the EPA Rico grant. Since I'm not around much for the next two weeks, you can email directly to Jasmin Guerra (included on this email), and cc: me when it's done. See contact information below if you have questions about how to complete the report.

Jasmin - attached is the most recent IDC. The rate is approved through June 30, 2013.

Thank you.
Kimberly

Kimberly Seymour

Grants Officer

Colorado Inactive Mine Reclamation Program

1313 Sherman Street, Suite 215

Denver, Colorado 80203

Phone: 303-866-3567 xt. 8141

Fax: 303-832-8106

Email: kimberly.seymour@state.co.us

----- Forwarded message -----

From: <Guerra.Jasmin@epamail.epa.gov>

Date: Fri, Nov 23, 2012 at 10:27 AM

Subject: Periodic Administrative Baseline Review - V-96803601

To: "Seymour, Kimberly" <Kimberly.Seymour@state.co.us>, "Sorenson, Allen" <Allen.Sorenson@state.co.us>

Cc: Vallejos.Cinna@epamail.epa.gov, Way.Steven@epamail.epa.gov

Dear Kimberly,

Please note the following items to address as a part of our routine periodic baseline review:

IDC negotiated agreement: Please submit your most recent copy

MBE/WBE:

Please Email to the R8grants@epa.gov a signed copy of the Annual MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreement (Form 5700-52A), to comply with the Assistance Agreement Terms and Conditions. It is not necessary for you to mail the original.

If you have any questions, please give me a call at 303-312-6508, or contact Marshall Pullman, the Disadvantaged and Minority Business Coordinator, at 303-312-6400 or at pullman.marshall@epa.gov. Mr. Pullman may contact you to ensure that you are complying with the EPA's MBE/WBE reporting requirements.

(See attached file: Form 5700-52A final version.doc)

Grant: V-96803601

Reporting period: Annual (Marked as last report - Final)

Thank you.

Sincerely,

Jasmin Guerra

USEPA, Region 8, TMS-G

1595 Wynkoop St.

Denver, CO 80202-1129

Phone: 1-800-227-8917 ext. 6508

or (303) 312-6508 phone

Fax: (303) 312-6685

Email: guerra.jasmin@epa.gov

[attachment "Form 5700-52A final version.doc" deleted by Jasmin Guerra/R8/USEPA/US] [attachment "IndirectLtr_Aproved.pdf" deleted by Jasmin Guerra/R8/USEPA/US]

Certification Training Database

EPA Domino Directory ==> 

Project Officer Information

Project Officer:	Cinna Vallejos Certified Grants		
Office:	REG,08, OEPR	E-Mail:	Vallejos.Cinna@epamail.epa.gov
AAShip:	R08	Phone:	303-312-6376
Org Code:	9083	Mail Code:	8EPR-PS

Training Information

Basic Training Date 08/06/96	Basic Training Type <input type="radio"/> IAG Only <input type="radio"/> IAG Manager Only <input checked="" type="radio"/> Grants Only <input type="radio"/> IGMS Security <input type="radio"/> Grants Manager Only
Refresher Training Date 01/05/2011	Refresher Training Type <input type="radio"/> IAG Only Refresher <input type="radio"/> IAG Manager Only <input checked="" type="radio"/> Grants Only Refresher <input type="radio"/> IGMS Security <input type="radio"/> Grants Manager Only

Last Training Date	01/05/2011
Certification Expiration Date	01/05/2014

Comments

Created By:

Michael Williams/DC/USEPA/US on 11/29/2012

Last Modified By:

Michael Williams/DC/USEPA/US on 11/29/2012



Fw: Periodic Administrative Baseline Review - V-96803601
Jasmin Guerra to: Cinna Vallejos, way.steven
Bcc: Jasmin Guerra

11/23/2012 10:37 AM

Cinna & Steven,

This recipient has about 50% of there funds remaining according to compass and this grant is about to end 12/31. Do you think they will still be ending their grant in December or do you think they might come in for a no cost time extension request?

Thanks!

Jasmin Guerra
USEPA, Region 8, TMS-G
1595 Wynkoop St.
Denver, CO 80202-1129
Phone: 1-800-227-8917 ext. 6508
or (303) 312-6508 phone
Fax: (303) 312-6685
Email: guerra.jasmin@epa.gov

— Forwarded by Jasmin Guerra/R8/USEPA/US on 11/23/2012 10:35 AM —

From: Jasmin Guerra/R8/USEPA/US
To: "Seymour, Kimberly" <Kimberly.Seymour@state.co.us>, "Sorenson, Allen" <Allen.Sorenson@state.co.us>
Cc: Cinna Vallejos/R8/USEPA/US@EPA, Steven Way/R8/USEPA/US@EPA
Date: 11/23/2012 10:27 AM
Subject: Periodic Administrative Baseline Review - V-96803601

Dear Kimberly,

Please note the following items to address as a part of our routine periodic baseline review:

IDC negotiated agreement: Please submit your most recent copy

MBE/WBE:

Please Email to the R8grants@epa.gov a signed copy of the Annual MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreement (Form 5700-52A), to comply with the Assistance Agreement Terms and Conditions. It is not necessary for you to mail the original.

If you have any questions, please give me a call at 303-312-6508, or contact Marshall Pullman, the Disadvantaged and Minority Business Coordinator, at 303-312-6400 or at pullman.marshall@epa.gov. Mr. Pullman may contact you to ensure that you are complying with the EPA's MBE/WBE reporting requirements.

[attachment "Form 5700-52A final version.doc" deleted by Jasmin Guerra/R8/USEPA/US]

Grant: V-96803601

Reporting period: Annual (Marked as last report - Final)

Thank you.

Sincerely,

Jasmin Guerra

USEPA, Region 8, TMS-G
1595 Wynkoop St.
Denver, CO 80202-1129
Phone: 1-800-227-8917 ext. 6508
or (303) 312-6508 phone
Fax: (303) 312-6685
Email: guerra.jasmin@epa.gov

New Award

*COLORADO DEPARTMENT OF NATURAL
RESOURCES*

V-96803601-0

	<i>Initials & Date</i>
<i>Jasmin Guerra- 8TMS-G</i>	<i>[Signature] 5/9/12</i>
<i>Anthofer (Delegate:Felz) - 8TMS-G</i>	<i>[Signature] for U.A. 5/9/12</i>
<i>Wong- 8TMS (SRO Concurrence required for grants > \$1M)</i>	<i>N/A</i>
<i>White House Notification (required for grants > \$1M)</i>	<i>N/A</i>
<i>Other</i>	<i>N/A</i>

COMMENTS:



Effective Date: June 15, 2011

U.S. ENVIRONMENTAL PROTECTION AGENCY

COMPREHENSIVE ADMINISTRATIVE REVIEW
Use for Processing Grants/Cooperative Agreements &
Continuation/Supplemental Awards**I. GENERAL INFORMATION**Applicant: CO Dept. Natural Resources Assistance No.: V-96803601-0Type of Applicant: ☒ State ☐ Local ☐ Non-profit ☐ University ☐ Tribe ☐ Foreign ☐ Other: _____Type of Action: ☒ New ☐ Continuation/Supplemental & Major Change in ScopeType of Agreement: ☒ Project ☐ Program ☐ Earmark ☐ Other: _____Applicable Regulations: ☐ 40 CFR 30 ☒ 40 CFR 31 ☒ 40 CFR 35, Subpart: 0 ☐ 40 CFR 40☐ 40 CFR 45 ☐ 40 CFR 46 ☐ 40 CFR 47 ☐ 40 CFR 49 ☐ Other: _____Statutory Authority(s): Cercla Sec 104 CFDA Number: 666-802For more information about CFDA's refer to: EPA Cross Reference Guide**II. ELIGIBILITY AND PRE-AWARD CAPABILITIES REVIEW**

	YES	NO	REFERENCES/NOTES
1. Is the Applicant eligible to receive assistance under the statute?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
2. Has the Region/AA Ship been delegated the authority to issue the award? • Are there any limitations contained in the Approval Delegation? • If so, were they met? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	Approval Delegation Number: <u>14-1</u> Approval Official: _____ <input checked="" type="checkbox"/> _____ AA/RA <input type="checkbox"/> _____ Division Director <input checked="" type="checkbox"/> <u>Borch Chief</u> Other <i>Limitations contained in the Delegation of Authority must be satisfied prior to assistance.</i>
3. Based on a review of the work plan objectives and narrative, do the activities appear to be appropriate for award of assistance rather than acquisition?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	This action must comply with the Federal Grant and Cooperative Agreement Act of 1977 & EPA Order 5700.1. If NO, program must consult with the Contracts Office.
4. Is the Applicant or any entity or person identified in the application in the Excluded Parties List System? Website: <u>http://www.epls.gov</u> Date Checked: <u>3/26/12</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If YES, award may not be made. Inform the GMO/Award Official/Program Office.
5. Does the applicant have a valid registration in the Central Contractor Registry (CCR)? Website: <u>https://www.bpn.gov/CCRSearch/Search.aspx</u> , or <u>http://iasint.rtpnc.epa.gov/neis/ccr_vend.inquiry</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Applicant must be registered before award can be made for new and continuation awards and supplemental amendments. If NO, applicant must be advised to register at <u>https://www.bpn.gov/ccr/default.aspx</u>
5A. Does the Legal Business Name or Doing Business As in the CCR agree with the Legal Name on the SF-424?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Must agree before award can be made. Should also match name in IGMS PAB. Note: EPA acronyms for recipient in PAB should not be changed but names can be modified. Create new entry in PAB, if necessary.
6. Does the EIN on the SF-424 agree with the EIN in the IGMS PAB for this recipient?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If NO, must be verified with the recipient and corrected or a new PAB created, if necessary.

NON-PROFIT APPLICANTS <input type="checkbox"/> N/A (If N/A, skip to Section III, [No. 12] in this document)	YES	NO	REFERENCES/NOTES
7. Is the Applicant an eligible Non-Profit organization?	<input type="checkbox"/>	<input type="checkbox"/>	If NO, this application may not be funded because award of assistance is prohibited to organizations which lobby or utilize Federal funds to lobby.
7A. If the Non-Profit organization is a 501(c)(4), have they certified they do not lobby?	<input type="checkbox"/>	<input type="checkbox"/>	
8. Was the applicant 501(c) status verified? <input type="checkbox"/> IRS Letter, Articles of Incorporation or State incorporation document attached <input type="checkbox"/> Hqs Cumulative List of Organizations (OGD use only) <input type="checkbox"/> IRS Website: http://www.irs.gov/charities	<input type="checkbox"/>	<input type="checkbox"/>	Reference: Desktop Resource for Non-Profit Applicants http://www.epa.gov/ogd/recipient/intro.htm
9. Is the applicant requesting greater than \$200K in Federal funding? (If YES, grantee must have been certified prior to award or receive certification prior to award. Check Grantee Compliance Database to determine if certification requirements have been met. If not certified, the EPA Administrative Capability Questionnaire must be completed prior to award.) • If NO, certification is NOT required.	<input type="checkbox"/>	<input type="checkbox"/>	See EPA Order 5700.8 Request Non-Profit Pre-Award Review Capability Certification at: Non-Profit Pre-Award Certification Review Request
10. Does the Grantee Compliance Assistance & Recipient Summary Database contain unresolved administrative evaluation reports for this applicant?	<input type="checkbox"/>	<input type="checkbox"/>	If YES, this application may not be funded until reports are closed or if findings in the reports are determined to be relevant, the applicant is on track to complete the corrective action plan. See EPA Order 5700.8
11. Does the applicant have any overdue close-out actions?	<input type="checkbox"/>	<input type="checkbox"/>	If YES review the HQ Grant Closeouts and Regional Closeout database for outstanding close-outs and determine whether the backloged close-out(s) are representative of a lack of administrative capability. See EPA Order 5700.8
III. ADMINISTRATIVE REVIEW	YES	NO	REFERENCES/NOTES
12. Is the application for Federal assistance (SF-424) and Assurances (SF-424B) signed by the Authorized Certifying Official?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If NO, contact the applicant and obtain additional information. Also, see No. 12 (below) for SF-424B when using Bundled Certification Packages.
13. Have you received and reviewed EPA Form 4700-4 (Pre-award Compliance Review Report) and forwarded it to the Office of Civil Rights (OCR) or Regional Equal Employment Opportunities Office? 13A. Were there any concerns identified?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If NO, contact the recipient to request form. This application may not be funded until the form has been sent to EPA's OCR/EEO.
Date forwarded to EPA's OCR/EEO: <u>3/1/12</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If there are concerns, wait 30 Calendar Days or obtain written approval from OCR/EEO.
14. Have all of the required forms been signed and submitted with the application?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Anti-Lobbying (>\$100K) <input type="checkbox"/> Annual Bundled Certification Package. Refer to [GPI 08-01] & [GPI 09-01] & [40 CFR 30.17]
15. Has the applicant opted to follow the EPA Cost Review Guidance for covered programs? [NOTE: Check the Grantee Compliance Database to confirm previous submittal of State Cost Review Assurance]	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> N/A Applicable to certain 40 CFR Part 31 and Part 35 Subpart A applicants and applicable programs. See EPA Cost Review Guidance: http://intranet.epa.gov/ogd/cost_review/main/index.htm
16. Is the application subject to the Intergovernmental Review (EO 12372) & Section 204 Demonstration Cities & Metropolitan Development Act (DCMA) requirements contained in 40 CFR 29? Date submitted for review: _____ If required: Has the timeframe for comment expired? <input type="checkbox"/> Yes <input type="checkbox"/> No Were comments submitted? <input type="checkbox"/> Yes <input type="checkbox"/> No Were comments addressed? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A State not participating (Documentation in file)? <input type="checkbox"/> [NOTE: This requirement does not apply to tribal governments]	<input type="checkbox"/>	<input checked="" type="checkbox"/>	For more information consult the Assistance Administrative Manual 5700, Part 1, General, Chapter 6 and 40 CFR 29. Continuing Grants- Waiting period is 30 days Project Grants- Waiting period is 60 days DCMDA- Waiting period is 60 days

Section III. "Administrative Review" Continued...	YES	NO	REFERENCES/NOTES
17. Has the applicant indicated it is Delinquent on any Federal debt?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If YES, contact the applicant and obtain additional information.
18. Is the applicant requesting Pre-award Costs? (i.e. the proposed project period starts prior to award date) If YES, are the Pre-award Costs allowable? • Must be included in the application/workplan • Must be allowable by regulations/statute/policy	<input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/>	40 CFR 30, 31 or 35 Subpart A, B, M & O
19. Is the proposed timeframe for the project within the statutory/regulatory/policy time constraint requirements?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Check Statutory/Regulatory/Policies./CFDA Limitations. Budget and project period timeframes may not exceed limitations imposed by M Account legislation and other requirements. See: RMD 2520.IIG (M Accounts) GPI 08-02 (7yrs. policy), and GPI 11-01 (5 or 7 yrs ULO).
20. Does the grant Program have additional funding restrictions that have to be satisfied prior to award? If YES, complete Additional Requirement Form. [NOTE: Consult program guidance when awarding grants for newly developed programs for additional requirements]	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Programs include (But are not limited to): <input type="checkbox"/> Air Pollution Control Program <input type="checkbox"/> Brownfields <input type="checkbox"/> Chesapeake Bay <input type="checkbox"/> Clean Water/Drinking Water SRF <input type="checkbox"/> Non-Point Source Management Grants <input type="checkbox"/> Indian Environmental General Assistance <input type="checkbox"/> Water Pollution Control (106) <input type="checkbox"/> Water Quality Mgmt Plng (604b) <input type="checkbox"/> State Indoor Radon <input type="checkbox"/> National Environmental Education <input type="checkbox"/> Training Assistance <input checked="" type="checkbox"/> Superfund, Subpart M & O
IV. FINANCIAL REVIEW	YES	NO	REFERENCES/NOTES
21. Is the budget mathematically correct?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	If not, corrections must be made before grant may be awarded.
22. Are there Statutory/Regulatory/Programmatic Cost Share requirements for this award? Match: ____ Percent of <input type="checkbox"/> Federal Funds or <input type="checkbox"/> Project Costs 22A. Does the application have a maintenance of effort/level of effort requirement (Air 105, Water 106 & Nonpoint Source 319)? If YES, has the applicant met the requirement(s) in 20 and/or 20A?	<input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	If the match provided by the applicant is insufficient, the application must be revised to provide for adequate cost sharing. See Matching/Cost Share Requirements reference document and worksheet. <i>- Match N/A - Management of Remedial Design & Investigation</i>
23. Is the applicant's match provided by another Federal Agency? If YES, is it allowable?	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> N/A Prohibited except for Community Development Block Grants & General Revenue Sharing funds or unless the other Federal agency has specific authorizing legislation which permits their funds to be used as matching costs.
24. Has the applicant submitted a sufficient budget narrative matching the object class categories contained in the budget on the SF-424B (non-construction) or C (construction)? If NO, contact the applicant and request supporting detail.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	For Additional Guidance go to: http://www.epa.gov/ogd/recipient/intro.htm See EPA Cost Review Guidance: http://intranet.epa.gov/ogd/cost_review/main/index.htm